

UNITED STATES DISTRICT COURT  
CENTRAL DISTRICT OF CALIFORNIA

ROSA CHAVEZ, aka ROSA MARTINEZ, ) NO. EDCV 08-1431-RC  
Plaintiff, )  
v. ) OPINION AND ORDER  
MICHAEL J. ASTRUE, )  
Commissioner of Social Security, )  
Defendant. )  
\_\_\_\_\_ )

Plaintiff Rosa Chavez, aka Rosa Martinez, filed a complaint on October 21, 2008, seeking review of the Commissioner's decision denying her application for disability benefits. On March 24, 2009, the Commissioner answered the complaint, and the parties filed a joint stipulation on May 6, 2009.

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## **BACKGROUND**

I

This case has a lengthy history, as the ALJ set forth:

This matter originally stems from the claimant's April 8, 1993 applications for a period of disability, disability insurance benefits, and supplemental security income. The [plaintiff] was found disabled effective September 1992 due to polysubstance abuse. The [plaintiff's] benefits were ceased effective January 1, 1997, when Public Law 104-121 was effectuated, and benefits were no longer paid to claimants who had a drug or alcohol disorder that was a contributing factor material to a finding of disability. The [plaintiff] filed a request for a hearing; however, the request for hearing was dismissed on May 16, 1997, when the [plaintiff] failed to appear for the scheduled hearing. The Appeals Council remanded the case; and on April 28, 1998, the [plaintiff's] request for hearing was dismissed again based on abandonment after she failed to reply or to provide the names of treating sources as requested. On May 13, 1999, the Appeals Council remanded the matter because the [plaintiff] advised she had moved to Chicago and had provided information about the change of address to the administration. A hearing was subsequently held before an administrative law judge in Chicago, Illinois; and on January 28, 2000, the judge issued an unfavorable decision. The [plaintiff] was found to have "severe" impairments consisting of osteoarthritis and allied disorders and an affective disorder, which did not meet or equal any listed impairment, and which did

1       not preclude light exertion with limitations to simple work  
2       tasks. [¶] The [plaintiff] appealed the January 28, 2000  
3       unfavorable decision, and on March 14, 2000, the [plaintiff]  
4       filed her second application for a period of disability and  
5       disability benefits. On May 3, 2000, the [plaintiff] filed an  
6       application for supplemental security income. The [plaintiff's]  
7       subsequent applications were denied at the hearing level by  
8       Administrative Law Judge Jacqueline Drucker on May 22, 2003.

9                   \* \* \*

10      The [plaintiff] appealed the second unfavorable decision,  
11     and on June 10, 2004, the Appeals Council remanded the  
12     January 28, 2000 and May 22, 2003 unfavorable decisions with  
13     . . . instructions. . . . [¶] While the above matters were  
14     pending, the [plaintiff] filed new Title II and Title XVI  
15     applications on November 13, 2003. Those applications were  
16     consolidated with the prior applications when the Appeals  
17     Council remanded the aforementioned decisions. [¶] After  
18     due notice, a hearing was held before [Administrative Law  
19     Judge Jay E. Levine ("ALJ")] on October 7, 2004 in San  
20     Bernardino, California. . . . [¶] On December 21, 2004,  
21     [the ALJ] issued an unfavorable decision. . . . [¶] Once  
22     again, the [plaintiff] appealed the unfavorable decision,  
23     and once again, the Appeals Council remanded the December  
24     21, 2004 decision . . . with . . . instructions. . . .

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26     Certified Administrative Record ("A.R.") 20-21.  
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1 On April 11, 2007, the ALJ conducted another administrative  
2 hearing, A.R. 1534-69, and on June 19, 2007, he issued a decision  
3 again finding plaintiff is not disabled. A.R. 17-39. The plaintiff  
4 requested review from the Appeals Council, and on September 5, 2008,  
5 the Appeals Council denied review. A. R. 13-16.

6

7 **II**

8 The plaintiff, who was born on March 26, 1953, is currently 56  
9 years old. A.R. 83. She has a general equivalency degree and a  
10 secretarial science certificate, and has previously worked as a  
11 receptionist, a sales clerk, an advocate, a data entry clerk, a night  
12 counselor, and a motel clerk. A.R. 120-25, 130, 1566.

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14 **DISCUSSION**

15 **III**

16 The Court, pursuant to 42 U.S.C. § 405(g), has the authority to  
17 review the Commissioner's decision denying plaintiff disability  
18 benefits to determine if his findings are supported by substantial  
19 evidence and whether the Commissioner used the proper legal standards  
20 in reaching his decision. Vasquez v. Astrue, 572 F.3d 586, 591 (9th  
21 Cir. 2009); Vernoff v. Astrue, 568 F.3d 1102, 1105 (9th Cir. 2009).  
22 "In determining whether the Commissioner's findings are supported by  
23 substantial evidence, [this Court] must review the administrative  
24 record as a whole, weighing both the evidence that supports and the  
25 evidence that detracts from the Commissioner's conclusion." Reddick  
26 v. Chater, 157 F.3d 715, 720 (9th Cir. 1998); Holohan v. Massanari,  
27 246 F.3d 1195, 1201 (9th Cir. 2001). "Where the evidence can  
28 reasonably support either affirming or reversing the decision, [this

1 Court] may not substitute [its] judgment for that of the  
 2 Commissioner." Parra v. Astrue, 481 F.3d 742, 746 (9th Cir. 2007),  
 3 128 S. Ct. 1068 (2008); Vasquez, 572 F.3d at 591.

4

5       The claimant is "disabled" for the purpose of receiving benefits  
 6 under the Social Security Act ("Act") if she is unable to engage in  
 7 any substantial gainful activity due to an impairment which has  
 8 lasted, or is expected to last, for a continuous period of at least  
 9 twelve months. 42 U.S.C. §§ 423(d)(1)(A), 1382c(a)(3)(A); 20 C.F.R.  
 10 §§ 404.1505(a), 416.905(a). "The claimant bears the burden of  
 11 establishing a *prima facie* case of disability." Roberts v. Shalala,  
 12 66 F.3d 179, 182 (9th Cir. 1995), cert. denied, 517 U.S. 1122 (1996);  
 13 Smolen v. Chater, 80 F.3d 1273, 1289 (9th Cir. 1996); see also  
 14 Valentine v. Comm'r Soc. Sec. Admin., 574 F.3d 685, 689 (9th Cir.  
 15 2009) ("To establish eligibility for Social Security benefits, a  
 16 claimant has the burden to prove he is disabled.").

17

18       The Commissioner has promulgated regulations establishing a  
 19 five-step sequential evaluation process for the ALJ to follow in a  
 20 disability case. 20 C.F.R. §§ 404.1520, 416.920. In the **First Step**,  
 21 the ALJ must determine whether the claimant is currently engaged in  
 22 substantial gainful activity. 20 C.F.R. §§ 404.1520(b), 416.920(b).  
 23 If not, in the **Second Step**, the ALJ must determine whether the  
 24 claimant has a severe impairment or combination of impairments  
 25 significantly limiting her from performing basic work activities. 20  
 26 C.F.R. §§ 404.1520(c), 416.920(c). If so, in the **Third Step**, the ALJ  
 27 must determine whether the claimant has an impairment or combination  
 28 of impairments that meets or equals the requirements of the Listing of

1 Impairments ("Listing"), 20 C.F.R. § 404, Subpart P, App. 1. 20  
 2 C.F.R. §§ 404.1520(d), 416.920(d). If not, in the **Fourth Step**, the  
 3 ALJ must determine whether the claimant has sufficient residual  
 4 functional capacity despite the impairment or various limitations to  
 5 perform her past work. 20 C.F.R. §§ 404.1520(f), 416.920(f). If not,  
 6 in **Step Five**, the burden shifts to the Commissioner to show the  
 7 claimant can perform other work that exists in significant numbers in  
 8 the national economy. 20 C.F.R. §§ 404.1520(g), 416.920(g).  
 9 Moreover, where there is evidence of a mental impairment that may  
 10 prevent a claimant from working, the Commissioner has supplemented the  
 11 five-step sequential evaluation process with additional regulations  
 12 addressing mental impairments.<sup>1</sup> Maier v. Comm'r of the Soc. Sec.  
 13 Admin., 154 F.3d 913, 914-15 (9th Cir. 1998) (per curiam).  
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15 However, "[a] finding of 'disabled' under the five-step inquiry  
 16 does not automatically qualify a claimant for disability benefits."

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17       <sup>1</sup> First, the ALJ must determine the presence or absence of  
 18 certain medical findings relevant to the ability to work. 20  
 19 C.F.R. §§ 404.1520a(b)(1), 416.920a(b)(1). Second, when the  
 20 claimant establishes these medical findings, the ALJ must rate  
 21 the degree of functional loss resulting from the impairment by  
 22 considering four areas of function: (a) activities of daily  
 23 living; (b) social functioning; (c) concentration, persistence,  
 24 or pace; and (d) episodes of decompensation. 20 C.F.R. §§  
 25 404.1520a(c)(2-4), 416.920a(c)(2-4). Third, after rating the  
 26 degree of loss, the ALJ must determine whether the claimant has a  
 27 severe mental impairment. 20 C.F.R. §§ 404.1520a(d),  
 28 416.920a(d). Fourth, when a mental impairment is found to be  
 severe, the ALJ must determine if it meets or equals a Listing.  
 20 C.F.R. §§ 404.1520a(d)(2), 416.920a(d)(2). Finally, if a  
 Listing is not met, the ALJ must then perform a residual  
 functional capacity assessment, and the ALJ's decision "must  
 incorporate the pertinent findings and conclusions" regarding  
 plaintiff's mental impairment, including "a specific finding as  
 to the degree of limitation in each of the functional areas  
 described in [§§ 404.1520a(c)(3), 416.920a(c)(3)]." 20 C.F.R.  
 §§ 404.1520a(d)(3), (e)(2), 416.920a(d)(3), (e)(2).

1     Bustamante v. Massanari, 262 F.3d 949, 954 (9th Cir. 2001); Parra, 481  
 2 F.3d at 746. Rather, the Act provides that "[a]n individual shall not  
 3 be considered disabled . . . if alcoholism or drug addiction would  
 4 . . . be a contributing factor material to the Commissioner's  
 5 determination that the individual is disabled." 42 U.S.C.  
 6 § 423(d)(2)(C).

7

8       For claimants such as plaintiff, who have substance abuse  
 9 dependency, the ALJ "must first conduct the five-step inquiry without  
 10 separating out the impact of alcoholism or drug addiction. If the ALJ  
 11 finds that the claimant is not disabled under the five-step inquiry,  
 12 then the claimant is not entitled to benefits and there is no need to  
 13 proceed with the analysis under 20 C.F.R. §§ 404.1535 or 416.935."

14 Bustamante, 262 F.3d at 955 (citations omitted); see also Brueggemann  
 15 v. Barnhart, 348 F.3d 689, 694 (8th Cir. 2003) ("The plain text of the  
 16 relevant regulation requires the ALJ first to determine whether [the  
 17 claimant] is disabled . . . without segregating out any effects that  
 18 might be due to substance use disorders. . . ." (citations and  
 19 footnote omitted)); Drapeau v. Massanari, 255 F.3d 1211, 1214 (10th  
 20 Cir. 2001) ("The implementing regulations make clear that a finding  
 21 of disability is a condition precedent to an application of  
 22 § 423(d)(2)(C). The [ALJ] must first make a determination that the  
 23 claimant is disabled." (citation omitted)). Then the ALJ "must  
 24 determine whether [the claimant's] drug addiction or alcoholism is a  
 25 contributing factor material to the determination of disability."<sup>2</sup> 20

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 27       <sup>2</sup> "The 'key factor . . . in determining whether drug  
 28 addiction or alcoholism is a contributing factor material to the  
 determination of disability' is whether an individual would still  
 be found disabled if [he] stopped using alcohol or drugs." Sousa

1 C.F.R. §§ 404.1535(a), 416.935(a); see also Brueggemann, 348 F.3d at  
 2 694-95 ("If the gross total of a claimant's limitations, including the  
 3 effect of substance use disorders, suffices to show disability, then  
 4 the ALJ must next consider which limitations would remain when the  
 5 effects of the substance use disorders are absent."); Drapeau, 255  
 6 F.3d at 1214 ("[The ALJ] must then make a determination whether the  
 7 claimant would still be found disabled if he or she stopped abusing  
 8 alcohol [or drugs].").

9

10 Applying the sequential evaluation process, the ALJ found  
 11 plaintiff has not engaged in substantial gainful activity since the  
 12 alleged onset of disability. (Step One). The ALJ then found  
 13 plaintiff has the severe impairments of hypertension, hepatitis C, a  
 14 dysthymic disorder and recurrent polysubstance abuse (heroin) disorder  
 15 (Step Two); however, plaintiff does not have an impairment or  
 16 combination of impairments that meets or equals a listed impairment.  
 17 (Step Three). Next, the ALJ determined plaintiff can perform her past  
 18 relevant work as a data entry clerk.<sup>3</sup> (Step Four). Finally, the ALJ  
 19 concluded plaintiff could perform a significant number of jobs in the  
 20 national economy; therefore, she is not disabled. (Step Five).

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23 v. Callahan, 143 F.3d 1240, 1245 (9th Cir. 1998) (citation  
 24 omitted); 20 C.F.R. §§ 404.1535(b)(1), 416.935(b)(1).

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<sup>3</sup> In an apparent typographical error, the ALJ found, at Step Four, that plaintiff "is unable to perform any past relevant work" (Finding no. 6); however, he then stated he "accept[s] and adopt[s] the testimony of the vocational expert," who testified plaintiff can "perform her past relevant work as a data entry clerk." A.R. 37. The ALJ also found at Step Five that plaintiff can perform other work in the national economy. Thus, this typographical error does not affect the Court's analysis.

## IV

The Step Two inquiry is "a de minimis screening device to dispose of groundless claims." Smolen, 80 F.3d at 1290; Webb v. Barnhart, 433 F.3d 683, 687 (9th Cir. 2005). Including a severity requirement at Step Two of the sequential evaluation process "increases the efficiency and reliability of the evaluation process by identifying at an early stage those claimants whose medical impairments are so slight that it is unlikely they would be found to be disabled even if their age, education, and experience were taken into account." Bowen v. Yuckert, 482 U.S. 137, 153, 107 S. Ct. 2287, 2297, 96 L. Ed. 2d 119 (1987). However, an overly stringent application of the severity requirement violates the Act by denying benefits to claimants who do meet the statutory definition of disabled. Corrao v. Shalala, 20 F.3d 943, 949 (9th Cir. 1994).

15

A severe impairment or combination of impairments within the meaning of Step Two exists when there is more than a minimal effect on an individual's ability to do basic work activities. Webb, 433 F.3d at 686; Mayes v. Massanari, 276 F.3d 453, 460 (9th Cir. 2001); see also 20 C.F.R. §§ 404.1521(a), 416.921(a) ("An impairment or combination of impairments is not severe if it does not significantly limit [a person's] physical or mental ability to do basic work activities."). Basic work activities are "the abilities and aptitudes necessary to do most jobs," including physical functions such as walking, standing, sitting, lifting, pushing, pulling, reaching, carrying or handling, as well as the capacity for seeing, hearing and speaking, understanding, carrying out, and remembering simple instructions, use of judgment, responding appropriately to

1 supervision, co-workers and usual work situations, and dealing with  
2 changes in a routine work setting. 20 C.F.R. §§ 404.1521(b),  
3 416.921(b); Webb, 433 F.3d at 686. If the claimant meets her burden  
4 of demonstrating she suffers from an impairment affecting her ability  
5 to perform basic work activities, "the ALJ must find that the  
6 impairment is 'severe' and move to the next step in the SSA's  
7 five-step process." Edlund v. Massanari, 253 F.3d 1152, 1160 (9th  
8 Cir. 2001) (emphasis in original); Webb, 433 F.3d at 686.

9

10 On March 1, 2004, Rocely Ella-Tamayo, M.D., examined plaintiff  
11 and diagnosed her with hypertension, past heroin abuse with a history  
12 of hepatitis C, chronic nicotine abuse, a history of chronic pain  
13 syndrome, and obesity.<sup>4</sup> A.R. 1295-1300. Dr. Ella-Tamayo opined:

14

15 [plaintiff] is restricted in pushing, pulling, lifting, and  
16 carrying to about 50 pounds occasionally, and about 25  
17 pounds frequently. Sitting is unrestricted. In terms of  
18 standing and walking, the [plaintiff] is able to stand and  
19 walk 6 hours out of an 8-hour workday with normal breaks.  
20 ***She is able to kneel and squat only occasionally because of  
her obesity.*** There is no functional impairment observed in  
21 both hands.

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24 A.R. 1300 (emphasis added).

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27 <sup>4</sup> Dr. Ella-Tamayo found plaintiff was 61" tall and weighed  
28 194 pounds. A.R. 1297. At her most recent administrative  
hearing, plaintiff described herself as weighing 240 pounds and  
"morbid[ly] obese." A.R. 1564.

1       Based solely on Dr. Ella-Tamayo's opinion, plaintiff contends the  
 2 ALJ erred in not finding obesity to be a severe condition. Jt. Stip.  
 3 at 10:22-14:4, 15:18-23. There is no merit to this claim. Even  
 4 assuming arguendo plaintiff's obesity constitutes a severe impairment,  
 5 the ALJ, as discussed below, included all the limitations Dr. Ella-  
 6 Tamayo found in plaintiff's residual functional capacity ("RFC") and  
 7 in the hypothetical question to the vocational expert.<sup>5</sup> A.R. 25,  
 8 1300, 1566-67. Therefore, any error was harmless. See Tommasetti v.  
 9 Astrue, 533 F.3d 1035, 1038 (9th Cir. 2008) ("The court will not  
 10 reverse an ALJ's decision for harmless error, which exists when it is  
 11 clear from the record that the ALJ's error was inconsequential to the  
 12 ultimate nondisability determination." (citations and internal  
 13 quotation marks omitted)); Burch v. Barnhart, 400 F.3d 676, 682-84  
 14 (9th Cir. 2005) (Even if the ALJ should have addressed the claimant's  
 15 obesity, his failure to do so was harmless error when the claimant,  
 16 who has been represented by counsel since the administrative hearing,  
 17 "has not set forth, and there is no evidence in the record, of any  
 18 functional limitations as a result of her obesity that the ALJ failed  
 19 to consider.").

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22       <sup>5</sup> In assessing plaintiff's RFC, the ALJ, in accordance with  
 23 Social Security terminology, see, e.g., SSR 85-15, 1985 WL 56857,  
 24 \*7 (S.S.A.), used the term "crouch" rather than "squat." A.R.  
 25, 1566. However, this is inconsequential since the terms are  
 26 essentially synonymous. See, e.g., Merriam-Webster's Collegiate  
Dictionary, 1138 (10th ed. 2002) (defining "squat" as "to cause  
 27 (oneself) to crouch or sit on the ground"); Filimoshyna v.  
Astrue, 2009 WL 3627946, \*8 (E.D. Cal.) ("Squatting is most  
 28 similar to the term 'crouching' as used in the [Social Security]  
 rulings."); Stewart v. Astrue, 2009 WL 537538, \*18 (W.D. Mo.)  
 ("'Squat'" means "'to sit in a low or crouching position with the  
 legs drawn up closely beneath or in front of the body.'"  
 (citation omitted)).

2       A claimant's RFC is what she can still do despite her physical,  
 3 mental, nonexertional, and other limitations. Mayes, 276 F.3d at 460;  
 4 see also Valentine, 574 F.3d at 689 (The RFC is "a summary of what the  
 5 claimant is capable of doing (for example, how much weight he can  
 6 lift)"). Here, the ALJ found plaintiff "has the residual functional  
 7 capacity to perform [limited] light work."<sup>6</sup> A.R. 25. In particular  
 8 the ALJ concluded:

9  
 10     The [plaintiff] can lift and carry 20 pounds occasionally  
 11 and 10 pounds frequently. She can stand and walk for 6  
 12 hours out of an 8-hour work day. She can sit for  
 13 unrestricted periods of time. She is precluded from work at  
 14 unprotected heights or with dangerous machinery. She can  
 15 occasionally climb, balance, stoop, kneel, crouch, and  
 16 crawl. She is limited to working with things rather than  
 17 people.

18  
 19 Id. However, plaintiff claims the ALJ failed to properly consider the  
 20 opinions of his treating psychiatrist, Dr. Maria Salanga, and non-  
 21 examining psychiatrist, Dr. David Gross, in making this RFC

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 24     <sup>6</sup> Under Social Security regulations, "[l]ight work involves  
 25 lifting no more than 20 pounds at a time with frequent lifting or  
 26 carrying of objects weighing up to 10 pounds. Even though the  
 27 weight lifted may be very little, a job is in this category when  
 28 it requires a good deal of walking or standing, or when it  
 involves sitting most of the time with some pushing and pulling  
 of arm or leg controls. To be considered capable of performing a  
 full or wide range of light work, [the claimant] must have the  
 ability to do substantially all of these activities." 20 C.F.R.  
 §§ 404.1567(b), 416.967(b).

1 determination. There is no merit to these contentions.

2

3       **A. Dr. Salanga:**

4       The medical opinions of treating physicians are entitled to  
5 special weight because the treating physician "is employed to cure and  
6 has a greater opportunity to know and observe the patient as an  
7 individual." Sprague v. Bowen, 812 F.2d 1226, 1230 (9th Cir. 1987);  
8 Morgan v. Comm'r of the Soc. Sec. Admin., 169 F.3d 595, 600 (9th Cir.  
9 1999). Therefore, the ALJ must provide clear and convincing reasons  
10 for rejecting the uncontroverted opinion of a treating physician,  
11 Ryan v. Comm'r of Soc. Sec., 528 F.3d 1194, 1198 (9th Cir. 2008);  
12 Reddick, 157 F.3d at 725, and "[e]ven if [a] treating doctor's opinion  
13 is contradicted by another doctor, the ALJ may not reject this opinion  
14 without providing 'specific and legitimate reasons' supported by  
15 substantial evidence in the record." Reddick, 157 F.3d at 725;  
16 Valentine, 574 F.3d at 692.

17

18       On July 28, 2003, Maria T. Salanga, M.D., examined plaintiff,  
19 diagnosed her as having a bipolar-type schizoaffective disorder and a  
20 severe recurrent major depressive disorder with psychotic features,  
21 and determined plaintiff's Global Assessment of Functioning ("GAF")  
22 was 45.<sup>7</sup> A.R. 1255-58. Dr. Salanga found plaintiff was depressed and  
23 tearful, and had auditory hallucinations and poor cognitive

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25       <sup>7</sup> A GAF of 45 means that the plaintiff exhibits "[s]erious  
26 symptoms (e.g., suicidal ideation, severe obsessional rituals,  
27 frequent shoplifting) or any serious impairment in social,  
occupational, or school functioning (e.g. no friends, unable to  
keep a job)." American Psychiatric Ass'n, Diagnostic and  
28 Statistical Manual of Mental Disorders, 34 (4th ed. (Text  
Revision) 2000).

1 functioning, although she was alert and oriented. A.R. 1257. On  
 2 June 3, 2004, Dr. Salanga reevaluated plaintiff, diagnosed her with a  
 3 recurrent and severe major depressive disorder with psychotic  
 4 features, and determined plaintiff's GAF was 45. A.R. 1387-88. Dr.  
 5 Salanga noted plaintiff was depressed, had auditory and visual  
 6 hallucinations, her thought content was "paranoid/persecutory," her  
 7 insight and judgment were "poor," her immediate memory was poor and  
 8 she was not oriented to person, place, time and situation; however,  
 9 her appearance, behavior, speech and thought process were within  
 10 normal limits. A.R. 1388.

11

12 At Step Two, the ALJ found plaintiff has a severe mental  
 13 impairment that limits her to working with things, rather than people.  
 14 A.R. 25. However, plaintiff claims this finding is not supported by  
 15 substantial evidence because the ALJ did not properly consider Dr.  
 16 Salanga's medical opinion that plaintiff's GAF was 45. The Court  
 17 disagrees. First, an ALJ is not required to give controlling weight  
 18 to a treating physician's GAF score; indeed, an ALJ's failure to  
 19 mention a GAF score does not render his assessment of a claimant's RFC  
 20 deficient. See Howard v. Comm'r of Soc. Sec., 276 F.3d 235, 241 (6th  
 21 Cir. 2002) ("While a GAF score may be of considerable help to the ALJ  
 22 in formulating the RFC, it is not essential to the RFC's accuracy.  
 23 Thus, the ALJ's failure to reference the GAF score in the RFC,  
 24 standing alone, does not make the RFC inaccurate."); Petree v. Astrue,  
 25 260 Fed. Appx. 33, 42 (10th Cir. 2007) (Unpublished Disposition) ("[A]  
 26 low GAF score does not alone determine disability, but is instead a  
 27 piece of evidence to be considered with the rest of the record.");  
 28 Ramos v. Barnhart, 513 F. Supp. 2d 249, 261 (E.D. Pa. 2003)

1 ("Clinicians use a GAF scale to identify an individuals' [sic] overall  
 2 level of functioning, and a lower score 'may indicate problems that do  
 3 not necessarily relate to the ability to hold a job.'" (citation  
 4 omitted)); Baker v. Astrue, 2009 WL 279085, \*3 (C.D. Cal.) ("In  
 5 evaluating the severity of a claimant's mental impairments, a GAF  
 6 score may help guide the ALJ's determination, but an ALJ is not bound  
 7 to consider it."); Florence v. Astrue, 2009 WL 1916397, \*6 (C.D. Cal.)  
 8 ("[W]ithout more, the ALJ's assessment of the medical record is not  
 9 deficient solely because it does not reference a particular GAF  
 10 score."); 65 Fed. Reg. 50746, 50764-65 ("The GAF scale . . . does not  
 11 have a direct correlation to the severity requirements in our mental  
 12 disorder listings.").

13

14 Second, and more importantly, the ALJ did consider Dr. Salanga's  
 15 GAF determinations, but found they were not "entitled to significant  
 16 weight" because "there is really no evidence to indicate the  
 17 reliability of cross raters where a particular GAF score means a  
 18 particular limitation in work ability or work capacity." A.R. 33.  
 19 This is a specific and legitimate reason for rejecting Dr. Salanga's  
 20 GAF determinations, see, e.g., Borrie v. Astrue, 2009 WL 2579497, \*2  
 21 (C.D. Cal.) ("[T]he ALJ considered the GAF score, but finding such  
 22 scores unreliable, did not find it mandated disability. This is a  
 23 specific and legitimate reason for discounting the score."); Taylor v.  
 24 Astrue, 2009 WL 4349553, \*5 (C.D. Cal.) (same), and since Dr. Salanga  
 25 did not set forth any functional limitations or otherwise comment on  
 26 plaintiff's ability to work, the ALJ did not err. Valentine, 574 F.3d  
 27 at 691.

28 //

1       B.    Dr. Gross:

2            The Commissioner may reject the opinion of a nonexamining  
 3 physician by reference to specific evidence in the medical record."  
 4 Sousa, 143 F.3d at 1244. However, while "not bound by findings made  
 5 by State agency or other program physicians and psychologists, [the  
 6 ALJ] may not ignore these opinions and must explain the weight given  
 7 to the opinions in their decisions." S.S.R. 96-6p, 1996 WL 374180, \*2  
 8 (S.S.A.);<sup>8</sup> see also 20 C.F.R. §§ 404.1527(f)(2)(i), 416.927(f)(2)(i)  
 9 ("State agency medical and psychological consultants and other program  
 10 physicians and psychologists are highly qualified physicians and  
 11 psychologists who are also experts in Social Security disability  
 12 evaluation. Therefore, administrative law judges must consider find-  
 13 ings of State agency medical and psychological consultants or other  
 14 program physicians or psychologists as opinion evidence. . . .");  
 15 Sawyer v. Astrue, 303 Fed. Appx. 453, 455 (9th Cir. 2008) ("An ALJ is  
 16 required to consider as opinion evidence the findings of state agency  
 17 medical consultants; the ALJ is also required to explain in his  
 18 decision the weight given to such opinions.").  
 19

20           On March 22, 2004, nonexamining psychiatrist David E. Gross,  
 21 M.D., opined plaintiff is moderately limited in her ability to perform  
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23           <sup>8</sup> Social Security Rulings constitute the Social Security  
 24 Administration's interpretations of the statute it administers  
 25 and of its own regulations. Massachi v. Astrue, 486 F.3d 1149,  
 26 1152 n.6 (9th Cir. 2007); Ukolov v. Barnhart, 420 F.3d 1002, 1005  
 27 n.2 (9th Cir. 2005). Social Security Rulings do not have the  
 28 force of law, Chavez v. Dep't of Health & Human Servs., 103 F.3d  
 849, 851 (9th Cir. 1996); nevertheless, once published, they are  
 binding upon ALJs and the Commissioner. Holohan v. Massanari,  
 246 F.3d 1195, 1202-03 n.1 (9th Cir. 2001); Gatliff v. Comm'r of  
 the Soc. Sec. Admin., 172 F.3d 690, 692 n.2 (9th Cir. 1999).

1 various mental activities, including understanding, remembering and  
 2 carrying out detailed instructions, and interacting appropriately with  
 3 the general public. A.R. 1317-19, 1322. Dr. Gross summarized  
 4 plaintiff's limitations by concluding plaintiff can remember short and  
 5 simple instructions, can perform simple repetitive tasks for a full  
 6 workday and workweek, and can work with peers and supervisors, but not  
 7 the public. A.R. 1319.

8

9 Plaintiff claims the ALJ erred in not considering Dr. Gross'  
 10 opinion in determining plaintiff's RFC. There is no basis for this  
 11 claim since it is clear that the ALJ did consider Dr. Gross' opinion,  
 12 see A.R. 22, 72,<sup>9</sup> concluding that it was in accordance with his RFC  
 13 determination restricting plaintiff to "working with things rather  
 14 than people." A.R. 22-23, 72, 74. Thus, the ALJ's RFC assessment  
 15 incorporated Dr. Gross' conclusion that plaintiff should not work with  
 16 the public. To the extent the RFC assessment did not incorporate Dr.  
 17 Gross' opinion that plaintiff is limited to simple repetitive tasks,  
 18 any such error was harmless since, as set forth below, the vocational  
 19 expert testified that even if plaintiff was limited to simple  
 20 repetitive tasks, she could still work as an office helper or small  
 21

22

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23       <sup>9</sup> Specifically, the ALJ incorporated by reference his prior  
 24 decision of December 21, 2004, which discussed Dr. Gross'  
 25 opinion, and such incorporation is permissible. See, e.g., Dixon  
v. Massanari, 270 F.3d 1171, 1178 (7th Cir. 2001) ("Although  
 26 [ALJ] Kelly did not specifically address Dr. Dawson's opinion,  
 27 she incorporated by reference ALJ Bernoski's discussions of the  
 28 medical evidence."); Banks v. Barnhart, 434 F. Supp. 2d 800, 805  
 n. 10 (C.D. Cal. 2006) ("The ALJ made no Step Three finding on  
 remand, but he incorporated by reference his earlier opinion in  
 which he found plaintiff's condition does not meet or equal a  
 listed impairment.").

products assembler II.<sup>10</sup> A.R. 1567-68; Tommasetti, 533 F.3d at 1038; Burch, 400 F.3d at 682-84.

V

At Step Five, the burden shifts to the Commissioner to show the claimant can perform other jobs that exist in the national economy. Bray v. Astrue, 554 F.3d 1219, 1222 (9th Cir. 2009); Hoopai v. Astrue, 499 F.3d 1071, 1074-75 (9th Cir. 2007). There are two ways for the Commissioner to meet this burden: "(1) by the testimony of a

<sup>10</sup> The Dictionary of Occupational Titles ("DOT"), which is the Commissioner's primary source of reliable vocational information, Johnson v. Shalala, 60 F.3d 1428, 1434 n.6 (9th Cir. 1995); Terry v. Sullivan, 903 F.2d 1273, 1276 (9th Cir. 1990), identifies the office helper (DOT no. 239.567-010) and small products assembler II (DOT no. 739.687-030) positions as having reasoning levels of "2," meaning these jobs require an employee to "[a]pply commonsense [sic] understanding to carry out detailed but uninvolved written or oral instructions" and "[d]eal with problems involving a few concrete variables in or from standardized situations." U.S. Dep't of Labor, Dictionary of Occupational Titles, 210, 772, 1011 (4th ed. 1991). The vocational expert also testified that plaintiff could work as a cleaner in housekeeping (DOT no. 323.687-014), A.R. 1567, a job that has a reasoning level of "1," meaning an employee is required to "[a]pply commonsense [sic] understanding to carry out simple one- or two-step instructions" and "[d]eal with standardized situations with occasional or no variables in or from these situations encountered on the job." Dictionary of Occupational Titles at 248, 1011. Either of these reasoning levels is consistent with a limitation to simple repetitive tasks. See Lara v. Astrue, 305 Fed. Appx. 324, 326 (9th Cir. 2008) ("Reasoning Level 1 jobs are elementary, exemplified by such tasks as counting cows coming off a truck, and someone able to perform simple, repetitive tasks is capable of doing work requiring more rigor and sophistication-in other words, Reasoning Level 2 jobs."); Hackett v. Barnhart, 395 F.3d 1168, 1176 (10th Cir. 2005) ("[L]evel-two reasoning appears . . . consistent with . . . Plaintiff's inability to perform more than simple and repetitive tasks. . . ."); Meissl v. Barnhart, 403 F. Supp. 2d 981, 984 (C.D. Cal. 2005) (plaintiff who could perform "simple tasks . . . at a routine pace" could perform jobs with a reasoning level of 2).

1 vocational expert, or (2) by reference to the Medical Vocational  
 2 Guidelines ["Grids"] at 20 C.F.R. pt. 404, subpt. P, app. 2."<sup>11</sup>  
 3 Tackett v. Apfel, 180 F.3d 1094, 1099 (9th Cir. 1999); Bray, 554 F.3d  
 4 at 1223 n.4. The Commissioner "must 'identify specific jobs existing  
 5 in substantial numbers in the national economy that [the] claimant can  
 6 perform despite her identified limitations.'" Meanel v. Apfel, 172  
 7 F.3d 1111, 1114 (9th Cir. 1999) (quoting Johnson, 60 F.3d at 1432).

8

9       Hypothetical questions to a vocational expert must consider all  
 10 of the claimant's limitations, Valentine, 574 F.3d at 690; Thomas v.  
 11 Barnhart, 278 F.3d 947, 956 (9th Cir. 2002), and "[t]he ALJ's  
 12 depiction of the claimant's disability must be accurate, detailed, and  
 13 supported by the medical record." Tackett, 180 F.3d at 1101. At  
 14 plaintiff's most recent administrative hearing, the ALJ asked  
 15 vocational expert Sandra Fioretti the following hypothetical question:

16

17       Assume a hypothetical individual the [plaintiff's] age,  
 18 education, prior work experience. Assume this person is  
 19 restricted to a light range of work, lifting and carrying 20  
 20 pounds occasionally, 10 pounds frequently, standing and  
 21 walking six hours out of an eight-hour day, no work at

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22

23       <sup>11</sup> The Grids are guidelines setting forth "the types and  
 24 number of jobs that exist in the national economy for different  
 25 kinds of claimants. Each rule defines a vocational profile and  
 26 determines whether sufficient work exists in the national  
 27 economy. These rules represent the [Commissioner's]  
 28 determination, arrived at by taking administrative notice of  
 relevant information, that a given number of unskilled jobs exist  
 in the national economy that can be performed by persons with  
 each level of residual functional capacity." Chavez v. Dep't of  
 Health & Human Servs., 103 F.3d 849, 851 (9th Cir. 1996)  
 (citations omitted).

1       unprotected heights, no work on dangerous machinery,  
2       occasionally climbing, balancing, stooping, kneeling,  
3       crouching, and crawling. The person should work with things  
4       rather than with people, and first question is could such a  
5       person perform [plaintiff's] past work?

6                   \* \* \*

7       Is there other work in the regional or national economy such a  
8       person could perform?

9  
10      A.R. 1566-67. In response, the vocational expert testified that  
11     plaintiff could perform her past work as a data entry clerk and also  
12     could work as a file clerk, which has 3,000 positions regionally and  
13     40,000 nationally, an office helper, which has 1,500 positions  
14     regionally and 25,000 nationally, and a cleaner in housekeeping, which  
15     has 6,000 positions regionally and in excess of 70,000 positions  
16     nationally. A.R. 1567. The ALJ then asked:

17  
18      Hypothetical two, assume a hypothetical individual, same  
19     restrictions as in one. Person is going to be limited to  
20     occasional keyboarding, no more than 15 minutes at a time,  
21     two to three hours a day total. And no strenuous torquing  
22     or twisting of the wrists. And standing and walking is  
23     limited to four hours a day total, no more than 15 to 30  
24     minutes at a time. And also the person is restricted to  
25     routine, repetitive tasks, entry level work. Are there jobs  
26     in the regional or national economy such a person could  
27     perform?

1 A.R. 1567-68. In response, the vocational expert testified that  
2 plaintiff could perform the job of office helper, which was eroded by  
3 25 percent for the standing/walking limitation, leaving about 1,200  
4 positions regionally and 19,000 nationally, and small products  
5 assembler II, which was eroded by 50 percent, leaving 4,800 positions  
6 regionally and in excess of 40,000 nationally. A.R. 1568.

7

8 The plaintiff claims the ALJ's decision must be reversed because  
9 the hypothetical questions to the vocational expert were "void of any  
10 mention of the mental impairments and limitations" as identified by  
11 Drs. Salanga and Gross. Jt. Stip. at 15:27-18:4, 18:25-19:4. Since  
12 this Court has determined the ALJ properly considered Dr. Salanga's  
13 opinion, and that any error in addressing Dr. Gross' opinion was  
14 harmless, plaintiff's claim lacks merit. Stubbs-Danielson v. Astrue,  
15 539 F.3d 1169, 1175-76 (9th Cir. 2008); Burch, 400 F.3d at 684. To  
16 the contrary, the vocational expert's testimony provides substantial  
17 evidence to support the ALJ's conclusion that plaintiff can perform a  
18 significant number of jobs in the national economy. Valentine, 574  
19 F.3d at 694; Osenbrock v. Apfel, 240 F.3d 1157, 1163 (9th Cir. 2001)

20

21 ORDER

22 IT IS ORDERED that: (1) plaintiff's request for relief is denied;  
23 and (2) the Commissioner's decision is affirmed, and Judgment shall be  
24 entered in favor of defendant.

25

26 DATE: Dec. 21, 2009

  
ROSALYN M. CHAPMAN  
UNITED STATES MAGISTRATE JUDGE

27

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